### **BAKER & HOSTETLER LLP**

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Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and The Estate of Bernard L. Madoff

## UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC,

Defendant.

In re:

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

CARMEN DELL'OREFICE,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA Liquidation

(Substantively Consolidated)

Adv. Pro. No. 10-04842 (SMB)

### TRUSTEE'S REQUEST FOR A CERTIFICATE OF DEFAULT

To: CLERK OF THE COURT

UNITED STATES BANKRUPTCY COURT

Irving H. Picard (the "Trustee"), as trustee for the liquidation of the business of Bernard L. Madoff Investment Securities, LLC under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa, et seq., and Bernard L. Madoff, by and through his counsel, Baker & Hostetler LLP, respectfully requests that the Clerk of the Court issue a Certificate of Default against defendant Carmen Dell'Orefice, pursuant to Rule 55(a) of the Federal Rules of Civil Procedure, made applicable to this Adversary Proceeding by Rule 7055 of the Federal Rules of Bankruptcy Procedure and Local Bankruptcy Rule 7055-1, for failure to plead or otherwise defend the above-captioned action as it fully appears from the Court file and from the attached Affidavit.

WHEREFORE, the Trustee respectfully requests that this Court grant the Trustee's Motion in its entirety and provide for such other relief as this Court deems just and proper.

Dated: New York, New York August 8, 2014 Respectfully submitted,

### /s/ Marc E. Hirschfield

### **BAKER & HOSTETLER LLP**

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SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC,

Defendant.

In re:

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Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

CARMEN DELL'OREFICE,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA Liquidation

(Substantively Consolidated)

Adv. Pro. No. 10-04842 (SMB)

# AFFIDAVIT SUPPORTING THE TRUSTEE'S REQUEST FOR A CERTIFICATE OF DEFAULT

STATE OF NEW YORK	)	
	)	SS
COUNTY OF NEW YORK	)	

Marc E. Hirschfield, being duly sworn, hereby attests as follows:

- 1. I am a member of the Bar of this Court and a partner at the firm of Baker & Hostetler LLP, which is counsel for Irving H. Picard ("Trustee"), Trustee for the substantively consolidated liquidation of the business of Bernard L. Madoff Investment Securities LLC ("BLMIS") under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa, et seq. ("SIPA") and the estate of Bernard L. Madoff, individually. I submit this affidavit in support of the Trustee's application for a certificate of default from the Clerk pursuant to Bankruptcy Rule 7055 of the Federal Rules of Bankruptcy Procedure and Local Bankruptcy Rule 7055-1.
- 2. On December 1, 2010, the Trustee commenced this adversary proceeding by filing a complaint (the "Complaint") against Carmen Dell'Orefice ("Defendant"). (Dkt. No. 1.) The Complaint asserted claims pursuant to sections 78fff(b), 78fff-1(a) and 78fff-2(c)(3) of SIPA, sections 105(a), 544, 548(a), 550(a), and 551 of the United States Bankruptcy Code, 11 U.S.C. §§ 101, *et seq.*, and other applicable law, seeking the avoidance and recovery of fraudulent conveyances in connection with certain transfers of property by BLMIS to or for the benefit of Defendant. (*Id.*).
- 3. On February 14, 2011, the Clerk of this Court issued a summons upon Defendant. (Dkt. No. 3.)

- 4. On February 14, 2011, the Trustee, in accordance with Bankruptcy Rule 7004(b) of the Federal Rules of Bankruptcy Procedure, timely served the Summons and Complaint upon Defendant. (*See* Dkt. No. 3.) An Affidavit of Service evidencing proper and timely service was filed with the Court. (*Id.*, *see also* Ex. A, Affidavit of Service; Dkt. No. 3.) A true and correct copy of the Affidavit of Service is attached hereto as Exhibit A.
- 5. Pursuant to the Order (1) Establishing Litigation Case Management Procedures For Avoidance Actions And (2) Amending The February 16, 2010 Protective Order governing the litigation of certain avoidance actions, including this adversary proceeding, the time by which Defaulting Defendants may answer or otherwise move with respect to the Complaint expired April 15, 2011. (*See* Dkt. No. 3.)
- 6. Despite being duly served with the Summons and Complaint, Defendant did not file an answer, move, or otherwise respond to the Complaint on or before April 15, 2011.
- 7. Upon information and belief, Defendant is neither an infant nor an incompetent.
- 8. On August 6, 2014, I caused to be performed a search on the Department of Defense Manpower Data Center (DMDC). Upon searching the information data banks of DMDC, the DMDC does not possess any information indicating that Defendant is currently on active duty as to all branches of the Military.
- 9. Attached hereto as Exhibit B is a true and correct copy of the Affidavit of Service reflecting proper service of this application on Defendant.

10. I declare under penalty of perjury that the foregoing is true and accurate to the best of my knowledge, information and belief.

Marc E. Hirschfield

Sworn to before me this grad day of Ave 2014

Notary Public

SONYA M. GRAHAM Notary Public, State of New York No. 01 GR6133214 Qualified in Westchester County Commission Expires: 9/12/20\_7

## **EXHIBIT A**

## UNITED STATES BANKRUPTCY COURT Southern District of New York

~ <del>~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ </del>	* <del>* * * * * * * * * * * * * * * * * * </del>		
SECURITIES INVESTOR PROTI	ECTION	Adv. Pro. No. 08-01789 (BRL)	
	Plaintiff-Applicant,	SIPA LIQUIDATION	
v. BERNARD L. MADOFF INVEST SECURITIES LLC,	TMENT	(Substantively Consolidated)	
	Defendant.		
In re:			
BERNARD L. MADOFF,			
	Debtor.	Case No. 09-11893 (BRL)	
IRVING H. PICARD, Trustee for of Bernard L. Madoff Investment S		Adv. Due No. 10 04942 (DDI)	
٧.	Plaintiff,	Adv. Pro. No. 10-04842 (BRL)	
CARMEN DELL'OREFICE,			
	Defendant.		
	AFFIDAVIT OF SERVICE		
STATE OF NEW YORK	)		
COUNTY OF NEW YORK	) ss: )		
I, Christopher Timony declare:			
1. I am over the ago	e of 18 years and not a party to these chapter	11 cases.	
<ol> <li>I am employed by Donlin, Recano &amp; Company, Inc., 419 Park Avenue South, Suite 1206, New York, NY 10016.</li> </ol>			
3. On the 14th day	of February, 2011, I caused a true and accura	ate copy of the:	
(i) "Complaint", along with the relevant exhibits ( <u>Docket No. 1</u> ); and the			
(ii) "Notice of Applicability of the Order Approving Case Management Procedures for Avoidance Actions" (Docket No. 2); and the			

- (iii) "Summons and Notice of Pretrial Conference in An Adversary Proceeding" (<u>Docket No.</u> 3); and the
- "Order (1) Establishing Litigation Case Management Procedures for Avoidance Actions and (2) Amending the February 16, 2010 Protective Order" dated November 11, 2010; and the
- (v) "Avoidance Action Executive Summary Letter dated December 20, 2010"; and the
- (vi) "Second Amended Notice of Omnibus Avoidance Action Hearing Dates",

to be served upon the parties listed on Exhibit 1, attached hereto, via First Class US Mail.

- 4. Said documents were securely enclosed in postage prepaid envelopes and delivered to an office of the United States Postal Service for delivery by First Class Mail.
- I declare under penalty of perjury that the foregoing is true and correct to the best of my personal knowledge. Executed this 14th day of February, 2011 at New York, New York.

Christopher Timony

Sworn before me this

14th day of February, 2011

SUNG JAE KIM

NOTARY PUBLIC STATE OF NEW YORK QUEENS COUNTY

LIC. #01Kl6211176

COMM EXP. September 14, 2013

Date: 2/14/2011

Adv Pro No: 10-04842 (BRL) Exhibit 1 Redacted Version

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#### CARMEN DELL'OREFICE

CARMEN DELL'OREFICE NEW YORK NY 10021

000434 005188

JEROME LEBOWITZ, ESQ. 60 WILDWOOD ROAD NEW ROCHELLE NY 10804

Counsel - 000434 005189

## **EXHIBIT B**

### **Baker & Hostetler LLP**

45 Rockefeller Plaza New York, NY 10111

Telephone: (212) 589-4200 Facsimile: (212) 589-4201

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and Estate of Bernard L. Madoff

### UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff,

v.

SECURITIES LLC,

Adv. Pro. No. 08-01789 (SMB) SIPA LIQUIDATION

(Substantively Consolidated)

BERNARD L. MADOFF INVESTMENT

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff.

Adv. Pro. No. 10-04842 (SMB)

v.

CARMEN DELL'OREFICE,

Defendant.

### AFFIDAVIT OF SERVICE

STATE OF NEW YORK ) ss.: COUNTY OF NEW YORK )

I, Tanya Kinne, being duly sworn, depose and say: I am more than eighteen years old and not a party to this action. My business address is Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, NY 10111.

On August 11, 2014, I served the TRUSTEE'S REQUEST FOR A CERTIFICATE OF DEFAULT and AFFIDAVIT SUPPORTING THE TRUSTEE'S REQUEST FOR

**CERTIFICATE OF DEFAULT** via electronic transmission to the email address designated for delivery and/or by placing true and correct copies thereof in sealed packages designated for regular U.S. Mail to the parties as set forth on the attached Schedule A.

**TO:** See Attached Schedule A

/s/Tanya Kinne	
TANYA KINNE	

Sworn to before me this 11th day of August, 2014

/s/Sonya M. Graham Notary Public

Sonya M. Graham Notary Public, State of New York No. 01GR6133214 Qualified in Westchester County Commission Expires: Sept.12, 2017 08-01789-cgm Doc 7625 Filed 08/11/14 Entered 08/11/14 16:20:56 Pg 15 of 15

## SCHEDULE A

Carmen Dell'Orefice New York, NY 10021 Pro Se